



Image
Receipt
Atty. Docket No.: 021286-0272501

UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------------------|---|----------------------------------------------------------|
| In re the Application of: |) | Group Art Unit: 1644 |
| WALKER R. FORCE et al. |) | Examiner: TBA |
| Serial No.: 10/040,244 |) | <u>Certificate of Mailing Under 37 CFR 1.8</u> |
| Filed: OCTOBER 26, 2001 |) | I hereby certify that this paper and the |
| Title: ISOLATION AND |) | attached papers are being deposited with the United |
| CHARACTERIZATION OF HIGHLY ACTIVE |) | States Postal Service as first class mail in an envelope |
| ANTI-CD40 ANTIBODY |) | addressed to: Director of Patents, U.S. Patent and |
| |) | Trademark Office, MS: Non-Fee Amendment, P.O. |
| |) | Box 1450, Alexandria, VA 22313-1450, on this date. |
| |) | 10/3/03 <i>Karyn R. Massie</i> |
| |) | Date Karyn R. Massie |

TRANSMITTAL

Director of Patents
MS: Non-Fee Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith in the above-identified application are the following documents:

1. Request for Corrected Filing Receipt;
2. Redlined copy of Filing Receipt; and
3. Return Postcard.

Respectfully submitted,

By: *Robert M. Bedgood*
Robert M. Bedgood, Ph.D.
Reg. No.: 43,488
Tel. No.: (858)-509-4065
Fax No.: (858) -509-4010

PILLSBURY WINTHROP LLP
11682 EL CAMINO REAL, SUITE 200
SAN DIEGO, CA 92130



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: APPLICATION OF

: Group Art Unit: Unknown

FORCE, W., et al.

: Examiner: Unknown

Application No.: 10/040,244

: Certificate of Mailing Under C.F.R. §1.8

Filed: October 26, 2001

: I hereby certify that this correspondence and all marked
: attachments are being deposited with the United States
: Postal Service as first class mail in an envelope
: addressed to: Mail Stop: Non-Fee Amendment,
: Director of Patent, P.O. Box 1450, Alexandria, VA,
: 22313-1450,

Title: **ISOLATION AND
CHARACTERIZATION OF HIGHLY
ACTIVE ANTI-CD40 ANTIBODY**

: on 10/3/03
: By: Karyn F. Massie
: Karyn F. Massie

REQUEST FOR CORRECTED FILING RECEIPT

Director of Patents
MS: Non Fee Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

After careful review of the Official Updated Filing Receipt, an error has been noted. It is requested that the error be corrected so that the Filing Receipt reads as set forth below, and that Applicant be provided with a corrected Filing Receipt.

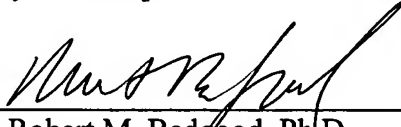
Under **APPLICANT(S)**:

Please correct the STATE OF RESIDENCE for applicant, Toshifumi Mikayama to the following:

--CALIFORNIA--. Please omit the word CANADA and replace with the word CALIFORNIA.

A red-lined copy of the Filing Receipt is attached hereto. Applicant respectfully requests correction of the Updated Filing Receipt and reissuance of the same.

Respectfully submitted,
Pillsbury Winthrop LLP

By: 
Robert M. Bedgood, Ph.D.
Reg. No.: 31,678
Tel. No.: (858) 509-4065
Fax No.: (858) 509-4010

Pillsbury Winthrop LLP
11682 El Camino Real, Suite 200
San Diego, CA 92130-2593



Red lined
copy

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|---------------------|----------|------------|------------|
| 10/040,244 | 10/26/2001 | 1644 | 2814 | P 021286 0272501 | 7 | 30 | 24 |

Pillsbury Winthrop LLP
Intellectual Property Group
Suite 200
11682 El Camino Real
San Diego, CA 92130



CONFIRMATION NO. 9259
UPDATED FILING RECEIPT



OC000000008563926

Date Mailed: 08/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Walker R. Force, San Diego, CA;
Nobuaki Takahashi, Saitama, JAPAN;
Toshifumi Mikayama, San Diego, ~~CANADA~~
CALIFORNIA

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/844,684 04/27/2001
AND CLAIMS BENEFIT OF 60/200,601 04/28/2000

Foreign Applications

PCT/US01/13672 04/27/2001

If Required, Foreign Filing License Granted 04/09/2002

Projected Publication Date: 11/07/2002

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED
AUG 06 2002
SA

Isolation and characterization of highly active anti-CD40 antibody

Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).